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## EXHIBIT "A"



#### **Disclosure AU\$8-1999-0688**

Created By: Ben ZumBrunnen Created On: Last Modified By: Matthew McClain Last Modified On:

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#### Summary

Status	Under Evaluation
<b>Processing Location</b>	AUS
Functional Area	48 -SWS- TECHNOLOGY CENTER (W. W. Lawton)
Attorney/Patent Professional	Jeff LaBaw/Austin/IBM
IDT Team	Craig Becker/Austin/IBM
Submitted Date	
Owning Division	SWSD REPORTED
PVT Score	To calculate a PVT score, use the 'Calculate PVT' button.

#### Inventors with Lotus Notes IDs

Inventors: Ben ZumBrunnen/Austin/IBM, Shane Claussen/Austin/IBM, Matthew McClain/Austin/IBM, Lin Xu/Austin/IBM

Inventor Name	Inventor		Manager	
> denotes primary contact	Serial	Dlv/Dept	Serial	Manager Name
ZumBrunnen, Benjamin C. (Ben)	808909	76/R37A	374443	Lawton, W.W. (Bill)
> Claussen, C.S. (Shane)	595375	76/R37A	374443	Lawton, W.W. (Bill)
	932145	76/R37A	374443	Lawton, W.W. (Bill)
Xu, Lin	652075	76/R37A	374443	Lawton, W.W. (Bill)

#### Inventors without Lotus Notes IDs

#### **IDT Selection**

IDT Team: Craig Becker/Austin/IBM	Attorney/Patent Professional:  Jeff Labaw/Austin/IBM	

#### Response Due to IP&L:

#### Main Idea

\*Title of disclosure (in English)
Custom DOM tags

### \*Idea of disclosure

1. Describe your invention, stating the problem solved (if appropriate), and indicating the advantages of using the invention.

Problem: Server-side scripting on a web page can be messy, and therefore, difficult to maintain and evolve.

2. How does the invention solve the problem or achieve an advantage, (a description of "the invention", including figures inline as appropriate)?

Solution: Custom DOM tags. Our invention is a framework and runtime providing a powerful macro language to XML/JSP. Custom DOM (Document Object

Model in eXtensible Markup Language speak) tags allow a web page author to define a simple markup tag, say, <SHOPPING\_CART>, that at page-translation time, a JavaBean or XSL stylesheet converts into script code. Further, this script code is then compiled into Java code, and then into a Java servlet, yielding excellent performance servicing a client's request. Since the custom tag replaces the script code in the authored page, the page is kept clean and easy to maintain. The script code is kept separate, and therefore, need only be debugged once. Normal ASP development would force this code to remain in the page, and it would have to be debugged after every modification.

3. If the same advantage or problem has been identified by others (inside/outside IBM), how have those others solved it and does your solution differ and why is it better?

Macromedia's Dreamweaver has a tag construction mechanism, but it keeps the script code on the page. The script is hidden from the user within Dreamweaver, but is still present on the page, and may be viewed/edited from within another editor. The modifications made in another tool will not round-trip back into Dreamweaver. Since our implementation keeps the script code out of the page, we round-trip between any editor.

Microsoft has it's design-time control (DTC) technology, and this is similar to MM's Dreamweaver. A DTC hides its code from the user, but still keeps it on the page. While other DTC-aware tools will hide the script code correctly, basic text-editors can still edit the code, and changes made will not be importable into the DTC-aware tools.

Other products that have some custom tag extensions are HeITML (http://www.heitml.com/heitml1.2/index.hei?rmenu=Introduction), Meta-HTML (http://www.metahtml.com/), and hpp (http://debra.doc.ca/doodads/hpp.html).

hpp is just an HTML preprocessor.

HeiTML and Meta-HTML are very similar to one another
They are Tag Macro definition languages for HTML.
They have control flow and limited scripting: <when> <if> <not>
They have tags for Database access and form handling:
either might be a good target for an XSP namespace.
Not XML compliant: don't use end-tags

#### 1) HeiTML

Can send tasks to the command shell.
Can redefine HTML tags.
Not XML compliant:
values in tags aren't attributes (just parameters)

#### 2) Meta-HTML

Someone has done work on top of Meta-HTML to define multi-language (English, French, Spanish, Chinese) macro support.

Not XML compliant:

-- when -- Is not XML friendly

XSP/JSPx: Custom DOM Tags - What's special and unique about our implementation

Syntax of the extensible tag is either XSL or by a Java object which supports the TagBean interface. We've talked about defining TagBeans in other languages as well (JavaScript, Lisp) but we don't have that functionality yet.

The main claims are:

bean handlers.

- 1) built on top of XML (none of the others are)
- 2) defineable programatically or with XSL
- 3) Macro definition language for XSP/JSPx
- (JSP with multiple computer languages and HTML)
- 4) Macros can affect the output of the whole page, not just what's in between a pair of tags.
- 4. If the invention is implemented in a product or prototype, include technical details, purpose, disclosure details to others and the date of that implementation.

  XSP (XML Server Pages) Extension to WebSphere, plan to ship to Alphaworks 7/99.

  In this implementation, custom tags are registered through an XML

  <directive.page taglib="tag-library.xml"> tag, according to the JSP 1.0

  specification. In the tag-library.xml file, the name of the custom tag is listed, as well as a JavaBean name or URL naming an XSL stylesheet. The first time the page is hit, our engine parses it into an XML Document Object Model (DOM), and we load all the required tag libraries. We then walk the DOM tree, looking for registered custom tags. Upon finding one, if it is registered as a JavaBean, we load the bean, and call a "process" method upon it, passing the custom tag's tree node. The JavaBean then examines the custom tag, and replaces it with other custom tags, script code, or HTML.

  The JavaBean has access to the entire DOM, and may modify it however it deems necessary. Once processing is complete, we rescan the DOM to pick up any new

An interesting possibility is when the tag bean handler is registered as an XSL stylesheet. In this case, instead of simply handing the tag bean element to the handler, we load the stylesheet, and pass it and the DOM to an XSL processor. The XSL processor applies the template to each instance of a custom tag, and performs the same replacement that a JavaBean would. The advantage is that many more web authors know XML/XSL than those who know Java, making our custom tag mechanism appeal to both communities.

tag library directives and new custom tags, and continue invoking the tag

Once all custom tags are reduced to HTML and script code, we compile the DOM into a Java service to service the client request. The translation step only occurs on the first request - all subsequent requests are handled by the compiled service, yielding good performance.

\*Critical Questions ( Questions 1 - 7 must be answered)

Question 1	<del>.</del>
On what date was the invention workable? Please format the date as MM/DD/YYYY	
(Workable means i.e. when you know that your design will solve the problem)	

"Question 2
Is there any planned or actual publication or disclosure of your invention to anyone outside O No IBM?
If yes, Enter the name of each publication or patent and the date published below.
Publication/Patent: Alphaworks release  Date Published or Issued:
Are you aware of any publications, products or patents that relate to this invention?  O No
If yes, Enter the name of each publication or patent and the date published below.
Publication/Patent: JSP 1.0 Specification hints that this idea might be possible
Date Published or Issued: 05/28/1999
*Question 3 Has the subject matter of the invention or a product incorporating the invention been sold, used O No
internally in manufacturing, announced for sale, or included in a proposal?
Is a sale, use in manufacturing, product announcement, or proposal planned?  O No
If Yes, identify the product if known and indicate the date or planned date of sale, announcements, or
proposal and to whom the sale, announcement or proposal has been or will be made.
Product:WebSphere
Version/Release:3.x Code Name:
Date:12/1999
To Whom: IBM internal
If more than one, use cut and paste and append as necessary in the field provided.
*Question 4
Was the subject matter of your invention or a product incorporating your invention used in No
public, e.g., outside IBM or in the presence of non-IBMers?
If yes, give a date. Please format the date as MM/DD/YYYY
*Question 5
manufactural del la company de la company
Have you ever discussed your invention with others not employed at IBM?
If yes, identify individuals and date discussed. Fill in the text area with the following information, the names of the individuals, the employer, date discussed, under CDA, and CDA #.
Spoke with Eduardo Pelegri-Liopart of Sun Microsystems early in May, explaining we had a very powerful tag-extension
mechanism and we wanted to be sure the upcoming JSP 1.0 spec did not prevent our ideas. We did not offer any details on our
implementation, only worked to ensure JSP 1.0 would be as XML-compliant as reasonably possible.
Question 6
Was the invention, in any way, started or developed under a government contract or project?
O Not sure
If Yes, enter the contract number
*Question 7
Was the invention made in the course of any alliance; joint development or other contract
O Not Sure
<u> </u>
f Yes, enter the following :Name of Alliance, Contractor or Joint Developer
Contract ID number
Relationship contact name
Relationship contact E-mail

Relationship contact phone?
Auestion 8 lave you submitted, or are you aware of, any related disclosure submission?
Yes, please provide the title and docket or disclosure number below:  Ve have several invention disclosures under development related to our implementation of XSP.
Itiestion 9  // Inat type of companies do you expect to compete with inventions of this type? Check all that apply.    Manufacturers of entry servers:   Manufacturers of workstations.   Manufacturers of PC's   Non-computer manufacturers   Developers of operating systems   Developers of networking entwere   Developers of application software   Integrated solution providers   Service providers   Other (Please specify below)
Other (Please specify below)  Itent Value Tool (Optional - this may be used by the inventor and attorney to assist with the ev
he Patent Value tool can be used by you or the evaluation team to determine the potential licensing lue of your invention.)
e Patent Value Tool has not yet been used to calculate a score.
est Disclosure Text & Drawings ter any additional information relating to this disclosure below:
orm Revised 12/17/97)